

BY TE WHARE HUKAHUKA 2020

































WHO WE SERVE



Te Whare Hukahuka has a vision of improving the lives of 10 million indigenous people. We do this by helping Māori Trust leaders grow world-class organisations.

Our work is mainly with leaders who are frustrated with the lack of progress in their Trust and with the lack of outcomes for whānau. Many are long-serving trustees and senior managers with specific skills, seeking to sharpen their toki and align the crew on their waka to ensure they can deliver better outcomes for whānau and ensure high performing and sustainable organisations. Te Whare Hukahuka will commit to finding the few most important things to work on with your team to develop better governance, management and sustainability of your organisation. This enables your leaders to feel confident, capable and helps get a focus on making the right decisions to transform your vision into action.

To learn more about our organisation, you can check out our website <u>here</u>.

To hear from some of our Māori Trust alumni, <u>click here</u>.





















THE HARD TRUTHS OF MĀORI TRUSTS



After training more than 1,000 Māori leaders from 105 community organisations over the last 7 years,

we have seen a range of interesting challenges, and learned some hard truths. From that experience, we have noticed that whether large or small, early stage or established, Māori organisations often experience these challenges along their journey:

- Some trustees forgetting why they are there
- Some trustees cruising along for the ride while others are doing the mahi
- Trustees who don't turn up to meetings
- Trustees paddling in different directions
- Some trustees with hidden agendas
- Trustees who don't declare their conflicts of interests
- Trustees who think that the staff are their minions
- People who mismanage finances
- People who use the organisation for their pet projects
- People being mana-munchers and undermining others
- Trustees breaking confidentiality and telling everyone the Trust's business (even if it's commercially sensitive)
- The trust not delivering any value to shareholders or communicating with whānau at all
- Seeing massive change coming for the Trust in the future but not wanting to face up to it
- Shareholders willing to fairly remunerate service providers but not the trustees





















OUR JOURNEY



I remember when we first started developing our governance training programmes for Māori Trusts, we based it on similar kinds of things that the Institute of Directors training would cover...

(Now, I'm not knocking the Institute of Directors (IoD)... I am sure that they provide some relevant and useful training for mainstream organisations... but the feedback have had from our alumni is that it was not that great for their Māori Trusts. And the cost to attend it was eye watering)

... So we went and developed this thick workbook filled with stuff! Lots of stuff! You know, like compliance stuff, legislation stuff, delegations stuff, performance management stuff, jargon stuff, blah blah stuff...

And to pull that workbook together, we had heaps of experts work away on their different models and their frameworks and their long essays about different governance theories. And we invested more than \$50,000 into it.

It was at least 200 pages thick. Just look at how much stuff was in it in the picture on the right.



Participants on our early governance training. Look how thick that workbook is. My goodness, you wouldn't want aunty to hit you with that thing!

OUR JOURNEY



You see, at that time (7 years ago) we were pretty fresh to designing and running governance training, so we were SO proud of our thick workbook and our \$50,000 efforts.

And we went out there and ran training workshops with about 120 Māori trustees from 20 Māori organisations. We made sure to teach as much of our workbook as possible so that we covered everything about governance. We thought they should know learn everything!

And six months later we came back to see what our impact was... And oh dear... most of the learning hadn't stuck! And not much had really changed in their Trusts!

That sure was a disheartening moment!

We thought there had to be a better way to strengthen governance skills in Māori Trusts than teaching a 200 page workbook.

We needed to understand what things were working and what things were not working inside Māori Trusts.

So we went out and surveyed over 100 trustees, chairmen, funders, service providers, and lawyers and accountants that work with Māori Trusts.



















FOR MĀORI, BY MĀORI



We found out what the most common challenges were, and the things that some Māori Trusts were doing to solve these challenges. We pulled all that information together and this informed amazing insights into what was actually working for Māori Trusts.

We noticed that many of the successful Māori Trusts had whānau who were proud of the Trust efforts, and the trustees would turn up to our hui rocking their shirts and jumpers with the Trust logo. We named these Trusts 'those ahead of the curve', where whānau were proud to wear the t-shirt.

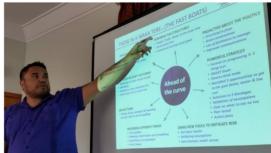
We named other Māori Trusts 'those that are work-in-progress', where whānau still don't see much benefit and don't wear the Trust's t-shirt.

[For a whole list of the insights about both types of Māori Trusts, <u>click</u> <u>here</u> to go to our website, put your email in the pop up box and we will email them to you]

... So with this new mātauranga, we went back and redeveloped our NEW Māori governance training programmes focused around just six key things that were the most important to creating strong governance. And finally by teaching these six things, we are seeing our training make a massive difference in Māori Trusts.

If you really want your Trust to grow and whānau to see benefits, we reckon trustees need training programmes that are practical, and that are 'for Māori, by Māori'.















ORGANISATIONS WE HAVE WORKED WITH

Te Tai Tokerau (Northland)

Haititai Marangai Marae Trust
Ngāi Takoto
Ngāpuhi AHC
Ngāti Hine Health Trust
Ngāti Kahu Social & Health Services
Pārengarenga Inc
Peria Charitable Trust
Te Runanga o Te Rarawa
Te Tii B3 Waitangi Trust
Te Tii Limited Partnership
Te Hiku Hauora
Te Waka Pupuri Putea (Te Rarawa)

Tāmaki (Auckland)

Atamira
He Waka Eke Noa
Ngā Maunga Whakahii o Kaipara
Investment Ltd
Ngāti Paoa Iwi Trust
Ngāti Tamaoho
Ngāti Whānaunga
Ngāti Whatua ki Orakei
Te Whangai Trust
Whenua Warrior Charitable Trust

Waikato

Maraeroa C Inc
Ngāti Turangitukua Charitable Trust
Te Ahurei a Rangatahi Trust
Te Hau Kainga Tuwharetoa
Te Runanganui o Ngāti Hikairo ki
Tongariro
Tiroa E & Te Hape B Trust
Waipapa 9 Trust
Whai Manawa Collective

Bay of Plenty

Hinepukohurangi Trust Kapenga M Trust Kokako Trust Ngapeke 7 Trust Ngahuinga Motumako Trust Ngā Mokai Trust Ngāti Pukenga ki Tauranga Iwi Trust Ngāti Tahu-Ngāti Whaoa Ngāti Tawhaki Omataroa Rangitaiki No 2 Trust Ohaki Collective Oruanui Lands Trust Owhata 2B & 7 Trust Paerata Trust Pukahukiwi Kaokaoroa Inc Putauaki Trust Ruahine & Kuharua Inc Rotoiti 15 Trust Rotoiti 9 Trust Tangaroa Aquaculture Ltd Taumanu Lands Trust Tauranga Moana Māori Trust Board Tautara Matawhaura Trust Te Awanui Hauora Te Roro o Te Rangi Trust Te Runanga o Ngāti Awa Te Runanga o Ngāti Manawa Te Tawharau o Ngati Pukenga Te Whakatohea

Tiki te Kohu Trust

Tumunui Lands Trust

Tuhoe Tuawhenua Trust

Bay of Plenty

Tūwharetoa Charitable Health Trust Waerenga-Pukahukiwi Ltd Waewaetutuki 7A2B Trust Waiteti Whenua Trust Wharepi Whānau Trust

Te Tai Rāwhiti (East Coast)

Rongowhakaata Iwi Trust Tāmanuhiri Tūtū Poroporo Trust Te Kaha 67 Ahu Whenua Trust

Takitimu (Hawkes Bay)

Maungaharuru Tangitu Trust Ngā Marae o Heretaunga Ngāti Pāhauwera Pukepuke Tangiora Estate Trust Tātau Tātau o te Wairoa Trust Te Awahohonu Forest Trust Te Hononga o Ngā Awa Waimarama Inc. Waipuka Inc.

Manawatu/Whanganui

Muaūpoko Tribal Authority
Rangitāne o Tāmaki nui a rua
Tahamata Inc
Te Ohu Tiaki o Rangitāne te Ika a
Maui Trust
Te Tihi Alliance
Uenuku Charitable Trust

Taranaki

Mahia mai a Whaitara

Ngāti Tawhirikura Hapū Trust
Ngāti Te Whiti Marae Trust
Ngāti Tupaia
Otaraua Hapū Trust
Parihaka Papakainga Trust
Parihaka Connect
Patea Māori Club
Tiaki Te Mauri Trust
Te Kahui o Taranaki
Te Korimako o Taranaki
Te Korowai o Ngāruahine
Te Kotahitanga o Te Atiawa
Te Runanga o Ngāti Mutunga
Waiokura Marae & Reserves Trust

Te Tau Ihu

Ngāti Kōata Trust Ngāti Tama ki te Tau Ihu Rangitāne o Wairau Te Atiawa o te Waka a Maui Wakatū Incorporation

Te Waipounamu

Ngā Hau e Wha Marae Te Rūnaka o Awarua

National

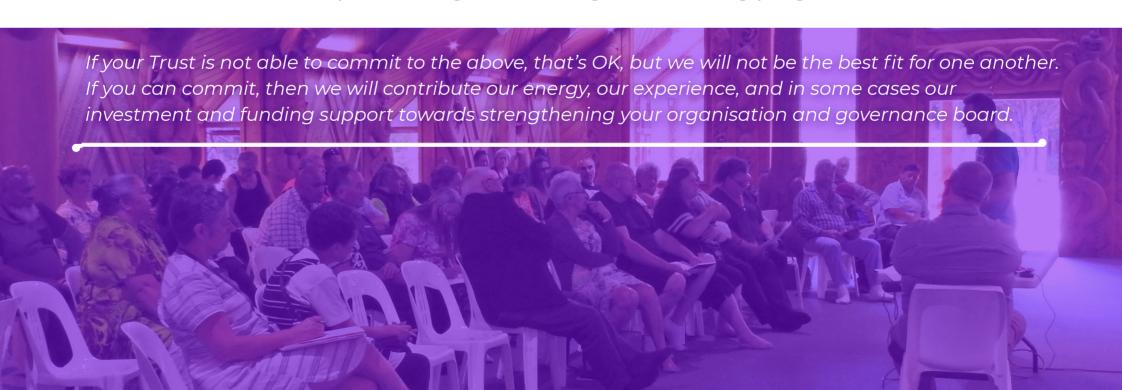
Ngā Pou Mana REAP Aotearoa The Skills Organisation Toi Māori U.S. Embassy Young Pacific Leaders

WHO WE WILL NOT WORK WITH



We believe that your time and our time are precious. We will only work with governance boards that:

- Proactively seek to work towards creating impact for whānau
- Are committed to turning up to the workshops
- Are open to new ideas
- Actively engage in the learning
- Want to make positive change
- Can commit to implementing the learnings and making progress



GOVERNANCE REVIEW

Helping trustees to identify and better understand their strengths and areas that are lacking to improve the overall governance of the organisation. Provides the board with tailored recommendations of where to focus to take your governance practice to the next level.

OUR PERSONALISED GOVERNANCE REVIEW

Are your trustees keen to improve but not sure where to focus?

Our Governance Review process helps trustees better understand their strengths and areas that can improve the overall governance of the organisation. It provides tailored recommendations of where your board can focus to take your governance practice to the next level. Multiple data points are analysed as part of the Governance Review (including board member perceptions, external analysis, interviews and reviewing relevant board documents). The findings are summarised and presented back to the board.

We will guide your trustees through a six step process:

1.

NGĀ WHAKAARO

(Governance Diagnostic)

2.

KĒMU PATAPATAI

(Governance Quiz)

3.

NGĀ PUKAPUKA

(Document Review) 4.

NGĀ KORERO

(Trustee Interviews) 5.

NGĀ KUPU TOHUTOHU

(Analysis & Summary findings)

6.

HEI TIROHANGA

(Session with the board)

Trustees complete a preliminary online diagnostic survey.

Identifies key behaviours and processes currently in play. Trustees engage in the governance quiz to determine their current awareness and understanding of relevant governance concepts.

TWH conducts a high level review of some of the Trust's key documents (e.g. strategy, structure and policies, and governance related) to identify what could be strengthened or added.

Engaging with 3+ trustees over the phone do a deeper dive into survey responses and the ways to ensure effective governance.

TWH analyses and consolidates the responses into trends to inform our key recommendations.

TWH analyses and summarises the results of the survey diagnostic, interviews and document review.

This summarises the areas where your Trust is doing really well, and the areas to improve to be more effective and have more impact.

Facilitated TWH
session with
trustees in your next
Board meeting
(45-90 min) to
discuss the
Governance Review
findings, what has
been achieved, and
potential growth
areas.

TAILORED GOVERNANCE REVIEW

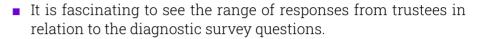




NGĀ WHAKAARO

(Governance Diagnostic undertaken with all trustees)

☐ Identifies the perspectives of all trustees about the behaviours and processes that are in place relating to your organisation's governance



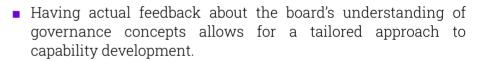
■ Trustees can sometimes have very different responses to the questions, which helps us identify issues and knowledge gaps that we need to dig in and learn more about.



KĒMU PATAPATAI

(Governance Quiz undertaken)

□ All participants engaging in the governance quiz to determine their current understanding of governance concepts. Informs the areas to focus on improving in governance training sessions



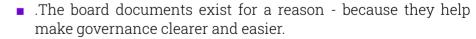
- The governance quiz consists of multi-choice questions and is hosted on our online learning portal
- Individual results will be kept confidential, and the aggregated results will be shared with the board.



NGĀ PUKAPUKA

(Document Review undertaken)

□ Determining whether your organisation's existing documents could be sharpened or improved, which are priority, and whether any key documents are missing that could help with more effective governance



- The documents that we typically review include:
 - Trust Deed + Strategic plan + Board charter
 - Board pack example + board meeting minutes
 - o Financials + Risk Register + Conflicts of Interest Register
- We benchmark these documents against the 100+ organisations we have worked with.

TAILORED GOVERNANCE REVIEW





NGĀ KŌRERO

(Trustee interviews with up to five trustees [via phone])

- Provides your trustees with the ability to share their perspective on how governance is being conducted - so that we have a well-informed viewpoint from different trustees rather than just one person's perspective
- ☐ Each call generally takes 45-90 minutes

- We believe that the trustees have a valid perspective into evaluating the board's governance performance.
- Pre-calls with several/multiple trustees help identify the different perspectives (confidentially) about key issues that need resolving to help your organisation move forward..
- Previously we only spoken to one trustee before the training and found out later that this didn't provide the full picture.
- We can do more than five interviews for an additional fee.



NGĀ KUPU TOHUTOHU

(Analysis & Summary findings collated together for sharing with trustees)

Provides trustees with a summary of our key findings and recommendations that focus specifically on addressing your organisation's governance needs



We use colour coding and set out written recommendations so that at the next trustee meeting you have the information to refer back to if your trustees ask "What did they even find and what do we need to work on?"

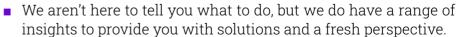




HEI TIROHANGA

(Session with the Board to explore Gov Review findings)

☐ Sharing with the board all of our findings from the Governance Review, discussing them, and identifying the recommendations that the board would like to focus on implementing



 Having this initial session builds an understanding of how we arrived at each of the findings, and an opportunity for your board members to ask questions, seek clarification and debate with us about the rationale and merit of the recommendations.

OUR GOVERNANCE REVIEW OPTIONS



There are two options we can provide. The full Governance Review, or a slim version:

	What each option includes:	Slim Governance Review	Full Governance Review
STAGE 1: TAILORED GOVERNANCE REVIEW	Governance diagnostic survey undertaken with all trustees - Ngā Whakaaro	1,240	1,240
	Governance Quiz - Kēmu Patapatai	-	980
	Document Review undertaken - Ngā Pukapuka	1,420	1,420
	Trustee interviews (via phone) - Ngā Kōrero	1,183 (2x calls)	2,235 (5x calls)
	Analysis and summary recommendations for the board - Ngā Kupu Tohutohu	2,270	2,970
	Check in with Board Chairperson to share initial findings (via phone) - He Kōrero	-	495
	Session with the Board to explore Governance Review findings - Hei Tirohanga	-	1,155
	Full price of Governance Review for your board	\$6,113	\$10,795
	TWH is willing to invest 35% towards the full price of your Governance Review in order to support your kaupapa	- \$2,140	- \$3,778
	Total cost for your whole board to participate (after TWH contribution):	\$3,973	\$7,017

GOVERNANCE TRAINING

Building the basic skills and capabilities of governance in a fun and engaging way so that all board members are confident in carrying out their role of governance, and everyone is on the same page.

We would make sure that the governance training programme:

- > Focuses on the particular areas of governance that are most relevant to your board
- > Brings clarity around the individual and collective role of governance
- > Assesses how your board would work with committees and management to ensure an effective structure
- Provides your board with tips, tools and templates to make governance easier

THE SIX KEY AREAS WE FOCUS ON IN GOVERNANCE TRAINING









We have developed our governance model based on common challenges that governance boards face and practical solutions to them. It is informed by various governance experts and theoretical models as well as our work with more than 100 community organisations. We focus on six aspects of great governance:

- Roles and Responsibilities: Providing a refreshed understanding for trustees about the different roles in the Trust, particularly governance vs management. Identifying good vs bad practice in governance. Showcasing the way to use policies and board charters to guide decision-making.
- Meetings: Trustees are clear on how to best prepare for meetings and how to contribute effectively so that strategic thinking is prioritised. Ensuring opinions are heard, good questions are raised, decisions are passed, resolutions are drafted and minutes accurately record the board decisions and actions.
- elements of strategy and the top entrepreneurial principles that increase the chances of success. Trustees feel confident with setting a clear direction for the Trust and agree on clear priorities. Strategic questions are raised and tough choices are made about the use of resources to achieve the vision. Looking at innovative, alternative methods to create business success and better impact for whānau.

- Measuring and Monitoring: Clear measurements are in place to monitor progress towards the Trust's strategic goals, and these are regularly tracked to inform the strategy and report outcomes to whānau. Trustees and the management team have a shared definition of success and what is measured these are outcomes-based rather than operational things.
- Risk Management: Ensuring trustees are aware of the 12 different types of potential risks and the processes that can be put in place to track, mitigate and manage risks as they arise. Trustees are armed with key questions to ask when analysing risk and feel comfortable in making decisions about the Trust without unduly putting the assets or Trust business at risk.
- Communication: Trustees have a strong sense of how to engage in positive and mana-enhancing discussions to ensure a safe and positive board environment. Ideas are shared around how to better keep whānau and strategic partners informed and engaged on the progress and initiatives of the Trust as proud champions.

THE SIX KEY AREAS WE FOCUS ON IN GOVERNANCE TRAINING











ROLES & RESPONSIBILITIES

Summary: Providing a refreshed understanding for trustees about the different roles in the Trust, particularly governance vs management. Identifying good vs bad practice in governance. Showcasing the way to use policies and board charters to guide decision-making.

Content areas:

- Purpose of organisation
- What are the seven key roles of the board
 - = Act in the best interests of the organisation
 - = Create policies and processes
 - = Ensure dedicated operations / Employing a GM/CEO
 - = Make collective decisions as a united team
 - = Establish a strategic direction
 - = Identify the risks
 - = Ensure communication with owners / beneficiaries / stakeholders
- Different board roles:
 - = Chairperson
 - = Secretary
 - = Treasurer
 - = Director v Trustee
 - = Sub-committees
 - = CEO (management role)
- Governance on small organisations
- Board Charter (policies)

MEETINGS

Summary: Trustees are clear on how to best prepare for meetings and how to contribute effectively so that strategic thinking is prioritised. Ensuring opinions are heard, good questions are raised, decisions are passed, resolutions are drafted and minutes accurately record the board decisions and actions

Content areas:

- Preparing for Board meetings
- Meeting agenda
- Ouorums
- Resolutions
- Minutes
- Governance members role in meetings
- Chair's role in meetings

COMMUNICATIONS

Summary: Trustees have a strong sense of how to engage in positive and mana-enhancing discussions to ensure a safe and positive board environment. Ideas are shared around how to better keep whānau and strategic partners informed and engaged on the progress and initiatives that the Trust is making so that they are proud champions.

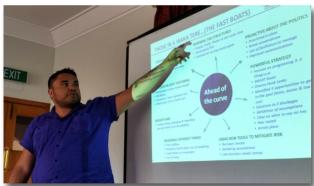
Content areas:

- Who the Board communicates with
- Brave conversations
- Giving and receiving feedback
 - = Reflective listening
 - = Sandwich technique (CRC)
- Conflicts of interest

THE SIX KEY AREAS WE FOCUS ON IN GOVERNANCE TRAINING









STRATEGIC THINKING

Summary: Trustees clear on the key elements of strategy and the top entrepreneurial principles that help increase the chances of success. Trustees feel confident with setting a clear direction for the Trust and agree on clear priorities. Strategic questions are raised and tough choices are made about the use of resources to achieve the vision.

Content areas:

- What is great strategy
- The Board's role in strategy
- TWH Strategy Canvas
 - = Vision
 - = Our Current Day
 - = Key Priorities
 - = Bridges / Opportunities
 - = Barriers / Risks / Challenges
 - = Capabilities needed
 - = Monitoring Progress
 - = Next Steps
- Key questions to ask in strategy
- 9 Entrepreneurial principles:
 - = Ask the 5 Why's
 - = 'No' tool
 - = Lead/Support/Influence
 - = First Domino
 - = 80/20 Rule
 - = Right question to ask yourself
 - = Ask 'who', not 'how'
 - = Build an 'A-team'
 - = Place small bets

MEASURING & MONITORING PROGRESS

Summary: Clear measurements are in place to monitor progress towards the Trust's strategic goals, and these are regularly tracked to inform the strategy and report outcomes to whānau. Trustees and the management team have a shared definition of success and what is measured - these are outcomes-based rather than operational things.

Content areas:

- Aspects that should be monitored
- End goals
- SMART goals
- Tools to help monitor progress:
 - = Dashboards
 - = Red flags
 - = KPIs
- Delegation to the CEO

RISK MANAGEMENT

Summary: Ensuring trustees are aware of the 12 different types of potential risks and the processes that can be put in place to track, mitigate and manage risks as they arise. Trustees are armed with key questions to ask when analysing risk and feel comfortable in making decisions about the Trust without unduly putting the assets or Trust business at risk.

Content areas:

- Types of Risk
- Dealing with risk
- Introducing the Risk Register
- Key questions around dealing with risk

GOVERNANCE TRAINING PROGRAMME

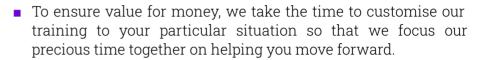




AROTAHI

(Training content customised based on Governance Review findings)

☐ Ensuring that the main challenges and gaps identified in the Governance Review are the main focus of the governance training sessions provided to trustees



- We draw on the most relevant governance concepts and tools that will help strengthen your governance practice.
- We curate a relevant online database of tools, templates and resources for trustees to utilise.



WHAKAPAKARI

(One full day governance workshop)

☐ A full day workshop with your trustees focused on building capability around core governance concepts and enabling your trustees to practice high-performing Māori governance

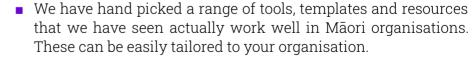
- Completely customised to your Trust.
- Unpacks six key areas of great governance that are most often creating challenges to good governance.
- Involves practical solutions to addressing your challenges.
- Empowers your trustees to feel confident, capable and focus on making the right decisions to strengthen governance.



NGĀ RAUEMI

(Useful tools, templates and resources shared with trustees)

☐ We provide a range of tools, templates and resources tested by great Māori organisations that can help you when strengthening governance in your organisation



■ For example, as part of Te Whare Hukahuka training, we provide useful strategy and governance templates that we spent days developing or paid \$5,000+ for.

GOVERNANCE TRAINING PROGRAMME





WHAKAHŌHONUTIA

(2nd full day governance workshop)

☐ Turn your original one-day workshop into a two-day wānanga or two separate one-day wānanga

- This extra day of facilitation can turn your one day wānanga into a two-day wānanga, or can allow for two single day wānanga spread over several months.
- Useful for covering more governance content than can be covered in one day.



NGĀ RINGA KAHA

(3 x Coaching sessions with the Chair, board or CEO)

- ☐ Builds capability and helps get progress on key areas identified in the Governance Review and touched on in the Governance Training.
- ☐ Helps to implement solutions to key challenges
- ☐ 90 minute sessions + 90 minute prep/follow up

- These three mentoring sessions really help to create momentum after the training. They are a great chance to discuss how your board will implement the learning.
- It's one thing to learn about good governance practice in a training workshop it's another thing to actually implement it.
- The sessions can be used to recommend training, templates, tools and resources that are proven to help address roadblocks.



NGĀ WHAKAKITENGA

(Final recommendation report)

☐ This report summarises your Trust's improvements made throughout the programme and the key areas to work on next

- We summarise the improvements that your board have made over the full governance programme, and note the key areas that we recommend you should look at working on next.
- This summary report helps trustees reflect on the full programme journey and remember the key lessons learned.
- It can also be included in your Annual Report to demonstrate to whānau and shareholders the work your board have put in to strength the organisation's governance.

COMPARING GOVERNANCE TRAINING OPTIONS



Below we have presented two options for your consideration. The full Governance Training programme, and a slim version:

	What each option includes:	Slim governance programme (up to 12 people)	Full governance programme (up to 12 people)
STAGE 2: GOVERNANCE TRAINING PROGRAMME	Training content customised based on Governance Review findings - Arotahi	1,650	2,310
	One full day governance workshop - Whakapakari	8,497	8,497
	Useful tools, templates and other resources shared with trustees - Ngā Rauemi	11,827	11,827
	Second full day governance workshop - Whakahōhonutia	-	8,497
	3 x Board coaching sessions around specific areas of governance - Ngā Ringa Kaha	-	5,445
	Final recommendation report - Ngã Whakakitenga	-	1,320
	Participant certificates upon completion - He Tohu Toa	-	180
	Full price of Governance Training Programme for your whole board	\$21,974	\$38,076
	TWH is willing to invest 40% towards the full price of governance training in order to support your kaupapa	- \$8,790	- \$15,230
	Total cost for your whole board to participate (after TWH contribution):	\$13,184	\$22,846

^{*} Note: Costs relating to venue/kai for governance workshops and facilitator travel will vary by client and location, and so are not included in proposal costings. Training workshops can be exchanged for a series of two hour video-conference sessions if your trustees are spread geographically or this is preferred.